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PTO/SB/05 (03/01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		<b>Attorney Docket Number</b> <b>SHPR-01361USF</b>	
		<b>Inventor</b> <b>Shek Fai Lau et al.</b>	
<b>Title</b> <b>Electrode Self-Cleaning Mechanism for Electro-Kinetic Air Transporter-Conditioner Devices</b>			
<b>Express Mail Label No.</b> <b>EV 327 622 792 US</b>			

22284 U.S. PTO  
 10/685182  
 101403

<b>APPLICATION ELEMENTS</b> <small>See MPEP Chapter 600 concerning Utility Patent Application Contents</small>		<b>ADDRESS TO:</b> Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (PTO/SB/17) (in duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status (See 37 CFR 1.27) 3. <input checked="" type="checkbox"/> Specification <b>Total Pages 33</b> (preferred arrangement set forth below) <div style="margin-left: 20px;"> <input type="checkbox"/> Descriptive Title of the invention  <input type="checkbox"/> Cross Reference to Related Applications  <input type="checkbox"/> Statement Regarding Fed Sponsored R &amp; D  <input type="checkbox"/> Reference to Sequence Listing, a table or computer program listing Appendix  <input type="checkbox"/> Background of the Invention  <input type="checkbox"/> Brief Summary of the Invention  <input type="checkbox"/> Brief Description of the Drawing(s) (if filed)  <input type="checkbox"/> Detailed Description  <input type="checkbox"/> Claim(s) <u>38</u>  <input type="checkbox"/> Abstract of the Disclosure         </div> 4. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) <b>Total Sheets 14</b> 5. <input checked="" type="checkbox"/> Oath or Declaration <b>Total Pages 2</b> <div style="margin-left: 20px;">           a. <input type="checkbox"/> Newly Executed            b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))            (for continuation/divisional with Box 18 completed)  <div style="margin-left: 20px;">             i. <input type="checkbox"/> DELETION OF INVENTOR(S)              Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)           </div> </div> 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 <u>4</u> Total Pages	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix) 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <div style="margin-left: 20px;">           a. <input type="checkbox"/> Computer Readable Form (CRF)            b. Specification Sequence Listing on:  <div style="margin-left: 20px;">             i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or              ii. <input type="checkbox"/> paper              c. <input type="checkbox"/> Statements verifying identity of above           </div> </div>		
<b>ACCOMPANYING APPLICATION PARTS</b>			
9. <input type="checkbox"/> Assignment Papers (Cover Sheet & Document(s)) _ Total Pages 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement <u>5</u> IDS ((IDS)/PTO-1449) <u>9</u> Total Pages Citations 13. <input type="checkbox"/> Preliminary Amendment _ Total Pages 14. <input checked="" type="checkbox"/> Return Postcard, specifically itemized (MPEP 503) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other			
18. If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: <u>09 / 924.624</u> Prior application information: Examiner: <u>That T. Tran</u> Group/Art Unit: <u>1711</u> For Continuation or Divisional Apps only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>19. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> Customer Number 23910 or <input type="checkbox"/> Correspondence Address below			
NAME		Fliesler Dubb Meyer & Lovejoy LLP	
ADDRESS		Four Embarcadero Center, Fourth Floor	
CITY	San Francisco	STATE	CA
ZIP CODE	94111		
COUNTRY	USA	TELEPHONE	415/362-3800
FAX	415/362-2928		
Name (Print/Type)	Jeffrey R. Kurin	Registration No. (Attorney/Agent)	41,132
Signature		Date	October 14, 2003

2003  
FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1,782.00)

Complete if Known

Application Number	Continuation f 09/924,624
Filing Date	October 14, 2003
Inventor	Shek Fai Lau et al.
Group Art Unit	1711
Examiner Name	Thao T. Tran
Attorney Docket Number	SHPR-01361USF

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.<sup>†</sup>
- ☐ Applicant claims small entity status. See 37 CFR 1.27.

Deposit Account Number: 06-1325  
Deposit Account Name: Fliesler Dubb Meyer & Lovejoy

2. ☒ Payment Enclosed:  
[X] Check [ ] Other

FEE CALCULATION (fees effective 10/1/01)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1001/\$770	2001/\$385	Utility Filing	770
1002/\$330	2002/\$165	Design Filing	
1004/\$750	2004/\$375	Reissue	
1005/\$160	2005/\$80	Provisional Filing	
SUBTOTAL (1)			(\$ 770)

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
1202/\$18	2202/\$9	Claims in excess of 20
1201/\$86	2201/\$43	Independent claims in excess of 3
1203/\$280	2203/\$140	Multiple dependent claim
1204/\$86	2204/\$43	Reissue independent claims over original patent
1205/\$18	2205/\$9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
1051/\$130	2051/\$65	Surcharge - late filing fee or oath	
1052/\$50	2052/\$25	Surcharge-late provisional filing fee or cover sheet	
1812/\$2,520	1812/\$2,520	For filing a request for reexamination	
1251/\$110	2251/\$55	Extension for response within first month <sup>†</sup>	
1252/\$420	2252/\$210	Extension for response within second month <sup>†</sup>	
1253/\$950	2253/\$475	Extension for response within third month <sup>†</sup>	
1254/\$1,480	2254/\$740	Extension for response within fourth month <sup>†</sup>	
1255/\$1,970	2255/\$985	Extension for response within fifth month <sup>†</sup>	
1401/\$320	2401/\$160	Notice of Appeal	
1453/\$1,300	2453/\$650	Petition to revive unintentionally abandoned application	
1501/\$1,330	2501/\$665	Utility Issue Fee (Or Reissue)	
1502/\$470	2502/\$235	Design Issue Fee	
1460/\$130	1460/\$130	Petitions to the Commissioner	
1814/\$110	2814/\$55	Statutory Disclaimer	
1806/\$180	1806/\$180	Submission of Information Disclosure Statement	
8021/\$40	8021/\$40	Recording each patent assignment per property (times number of properties)	
1809/\$750	2809/\$375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801/\$770	2801/\$385	Request for Continued Examination (RCE)	
Other fee (specify):			
Other fee (specify):			
SUBTOTAL (3)			(\$ 0)

(Col. 1)	(Col. 2)	(Col. 3)				
For	No. of Existing Claims	Highest No. Previously Paid For	Extra**	Fee	Fee Due	
TOTAL	38	20 or	18	x 18	= 324	
INDEP	11	3 or	8	x 86	= 688	
[ ] First presentation of multiple dependent claim						= 0

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) (\$ 1,012)

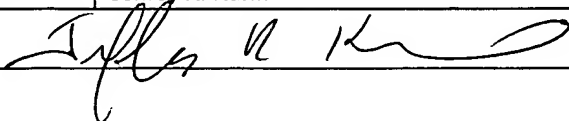
SUBMITTED BY

Typed or Printed Name Jeffrey R. Kurin

Complete (if applicable)

Reg. Number 41,132

Signature



Date

October 14, 2003